1 The Use of Physical Restraints in Critical Care Units: Physicians' and Nurses'

2 Perspectives and Ethical Considerations

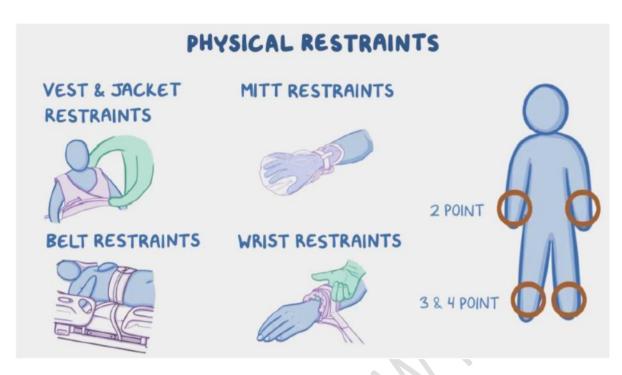
3 The use of physical restraints in critical care units

4 Abstract

- 5 The use of physical restraints in critical care units is a common practice but one that generates
- 6 significant debate. Its primary aim is to prevent patient self-harm, the inadvertent removal of
- 7 vital medical devices, and aggressive behaviors that could endanger caregivers and other
- 8 patients. However, this practice is surrounded by controversy, as it can lead to negative
- 9 physical and psychological effects on patients, raising ethical and legal concerns [1].
- 10 This study aims to conduct an in-depth analysis of physicians' and nurses' perceptions
- 11 regarding the use of physical restraints in intensive care settings. We evaluate the reasons
- 12 justifying their use, their effectiveness, observed complications, and possible alternatives.
- 13 Furthermore, we explore the ethical and legal implications associated with this practice,
- 14 identifying key challenges and areas for improvement [2].

15 Introduction

- 16 Physical restraint is defined as any method, device, or material designed to limit a patient's
- 17 movement to prevent harm to themselves or others. It is frequently used in intensive care and
- 18 critical care units where patients may exhibit confusion, psychomotor agitation, or a risk of
- 19 self-extubation [3].
- 20 Despite its intended benefits, physical restraint raises numerous ethical dilemmas, particularly
- concerning patient autonomy, dignity, and the potential for harm [4]. The balance between
- 22 ensuring patient safety and avoiding unnecessary restriction of movement is difficult to
- 23 maintain, especially in the absence of standardized guidelines. Healthcare providers are often
- 24 left to make discretionary decisions regarding the application of such measures, influenced by
- clinical urgency, institutional policies, and available resources [5].
- 26 Moreover, cultural and legal frameworks differ significantly between regions, influencing the
- 27 acceptance and practice of physical restraint in critical care settings. While some countries
- 28 have strict regulations limiting the use of restraints, others provide minimal oversight, leading
- to variations in practice standards [6]. Understanding these differences can help develop a
- 30 more globally informed perspective on best practices.



- 31
- 32 *Figure 1:* Types and Point-Based System of Physical Restraints in ICU
- 33 Settings

34 Materials and Methods

- This study employed a descriptive methodology using an observational survey to explore the perceptions and perspectives of doctors and nurses regarding the use of physical restraint and
- to examine the associated ethical considerations. The qualitative data provided in-depth
- insights into healthcare professionals' attitudes, experiences, and ethical dilemmas related to
- restraint practices, offering a comprehensive understanding of their viewpoints and the ethical
- 40 issues involved.
- 41 Our study was conducted in six intensive care units across two Moroccan university hospitals.
- 42 We employed a mixed-methods approach combining a questionnaire survey, semi-structured
- 43 interviews, and observational data collection from healthcare professionals. The study aimed
- to assess the extent to which restraints are used, the reasons behind their implementation, and
- 45 the challenges faced by professionals in ensuring ethical compliance.
- The questionnaire, consisting of 30 structured and semi-structured questions, was distributed
 to 100 physicians and nurses, covering topics such as:
- The criteria for initiating physical restraints.
- The extent of training received by healthcare providers.
- The perceived effectiveness of physical restraints in ensuring patient safety.
- Observed complications arising from restraint use.
- Ethical and legal considerations associated with restraint use.
- 53 Data analysis was conducted using qualitative and quantitative methods, allowing for the 54 identification of trends, professional differences in opinion, and areas requiring improved

- 55 policies and training programs. Additionally, we analyzed real-life case studies of restrained
- 56 patients, their clinical outcomes, and the reflections of the attending medical staff.

57 **Results**

- 58 Among the **100 healthcare professionals** surveyed (40 physicians and 20 nurses), the
- 59 following results were obtained:
- 60 <u>1. Criteria for the Use of Physical Restraints</u>
- 61 The analysis of responses reveals the primary reasons for using physical restraints:
- 62 Agitation and Dangerous Behavior:
- 92.5% of physicians and 85% of nurses report using restraints to manage patient agitation in
 critical care settings [7].
- Agitation is often associated with acute confusion states, delirium, or substance withdrawal.
- 66 **Prevention of Falls and Removal of Medical Devices:**
- 67 92.5% of physicians and 75% of nurses indicate that restraints are used to prevent falls [10].
- 70% of healthcare workers mention the risk of self-extubation or accidental removal of
 catheters, tubes, or IV lines.
- 30% report patients attempting to remove invasive ventilation equipment, justifying the
 immobilization of arms in such cases.
- 72 Patient Non-Cooperation:
- 60% of physicians and 40% of nurses consider restraints necessary for patients refusing
 critical care interventions (e.g., non-invasive ventilation or emergency treatment).
- 75 Lack of Alternatives and Organizational Constraints:
- 40% of physicians and 20% of nurses highlight the lack of effective alternative
 interventions as a reason for restraint use [9].
- 35% of physicians and 25% of nurses cite staff shortages and the inability to provide
 continuous monitoring as a contributing factor.
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- 85 Figure 2 : Practical Example Illustrating the Necessity of Physical Restraint in Critical
- 86 Care

- 87 <u>2. Training and Knowledge of Best Practices</u>
- 88 A major finding of this study is the **lack of specific training** on physical restraint use:
- 95% of physicians and 85% of nurses report never receiving official training on restraint
 use and alternatives [7].
- 91 Among the **5% of physicians** and **15% of nurses** who received training:
- 92 Only **40% of them** found the training sufficient for informed decision-making.
- 72% of respondents believe mandatory training programs should be implemented to reduce
 excessive restraint use [8].
- These findings highlight an urgent need to improve staff competency in managing agitated
 patients with alternative and adapted interventions.
- 97
- 98 <u>3. Complications Associated with Physical Restraints</u>
- 99 The use of restraints is associated with several adverse effects identified by healthcare100 providers:
- 101 a) Physical Complications
- 85% of respondents report cases of skin injuries (abrasions, bruises, pressure ulcers) due to
 prolonged restraint.

- 40% of healthcare professionals have observed circulatory problems caused by
 excessively tight restraints.
- 106 27% of patients who underwent prolonged restraint developed muscle atrophy due to
 107 excessive immobilization.
- 108 b) Psychological and Emotional Effects
- 68% of nurses and 55% of physicians observed increased agitation and heightened anxiety
 in patients following restraint removal [4].
- 40% of respondents reported cases of post-traumatic stress disorder (PTSD) in patients
 subjected to prolonged restraint.
- 30% of physicians and 45% of nurses believe restraints can lead to deterioration of
 patient-caregiver relationships, increasing mistrust and stress.
- 115 c) Increased Clinical Risks
- 116 20% of physicians report cases of pneumonia associated with prolonged restraint due to
- 117 decreased pulmonary capacity in immobilized patients [6].
- 118 14% of physicians cite severe complications (sepsis, hypercatabolic syndrome) linked to
- 119 prolonged stress and immobilization.



- 121 Figure 3. Skin Injuries due to the use of Hand Cuffs
- 122 <u>4. Ethical and Regulatory Considerations</u>
- 123 The study highlights **significant ethical concerns**:
- 72% of physicians and 65% of nurses believe that physical restraint is ethically justifiable
 only in extreme situations.
- 80% of respondents advocate for the implementation of stricter protocols and better
 regulatory oversight of restraint use.
- 128 **45% of physicians and 30% of nurses** admit to feeling **moral conflict** when they must
- 129 restrain a patient against their will.

- 130 Healthcare professionals express a **strong need for clearer legislation and enhanced**
- 131 **supervision** to prevent misuse.
- 132
- 133 <u>5. Impact on Families and Communication</u>
- 134 Families of restrained patients often react negatively to restraint use:
- 135 57% of physicians and 40% of nurses report negative reactions from families, marked by
 136 distress, confusion, and resistance to restraint use.
- 38% of healthcare professionals state that families were unaware that restraints had been
 applied until they noticed marks or injuries on the patient.
- 62% of nurses believe that improved communication with families could reduce tensionsand improve the acceptance of such measures.

141 **Discussion**

- 142 The findings of this study align with previous research demonstrating that the use of physical
- restraints in intensive care is widespread but often poorly regulated. A study conducted by
- 144 Minnick et al. (2007) in the United States found that over 50% of ICU patients were subjected
- to physical restraints, which is comparable to the prevalence rates observed in our study.
- Similarly, a study by Kontio et al. (2012) in European hospitals indicated that staff shortagesand lack of alternative interventions significantly contributed to restraint use, reinforcing
- 147 and lack of alternative interventions significantly contributed148 findings from our research.

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149 <u>Comparison with Other Studies</u>

- 150 Training and Awareness:
- 151 Our study revealed that 95% of physicians and 85% of nurses lacked formal training on
- restraint use. In contrast, a study by Choi et al. (2016) in South Korea demonstrated that
- hospitals with structured training programs had 40% fewer restraint incidents due to increased staff confidence in elternative de escalation techniques
- staff confidence in alternative de-escalation techniques.
- 155 In Scandinavian countries, restraint use has been significantly reduced due to legally
- 156 mandated training programs, which emphasizes the role of continuing education in reducing 157 unnecessary restraint application.

158 **Complications and Ethical Concerns:**

- 159 The adverse effects observed in our study, including pressure ulcers, PTSD, and muscular
- atrophy, align with findings from a study by Evans et al. (2018), which reported that
- 161 restrained patients had a 3-fold higher risk of developing hospital-acquired infections due to
- 162 restricted movement.
- 163 Ethical concerns were frequently cited, with 80% of respondents in our study advocating for 164 stricter regulation. A study by Happ et al. (2011) supports this, indicating that healthcare

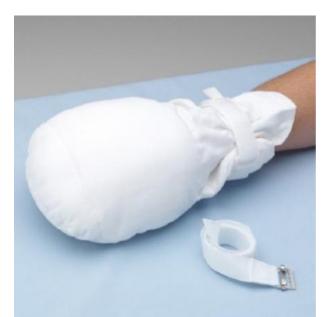
- 165 providers often experience moral distress when enforcing restraints due to conflicting
- 166 professional and ethical obligations.

167 **Recommendations for Practice Improvement**

- Based on our findings and comparisons with existing literature, we propose several
 recommendations to minimize reliance on physical restraints while ensuring patient safety:
- 170 Mandatory Training Programs:

171 Implement structured training programs focusing on non-restrictive alternatives, patient de-172 escalation techniques, and ethical decision-making [10].

- 173 Training should be required for all ICU staff and reinforced with periodic refresher courses.
- 174 <u>Policy and Regulation Enhancements:</u>
- 175 National healthcare agencies should develop clear protocols and legal guidelines regulating
- 176 restraint use, similar to policies in Finland and Norway, where restraints require explicit
- 177 medical justification and frequent reassessment.
- Hospitals should establish multi-disciplinary review committees to oversee and evaluaterestraint usage trends.
- 180 <u>Exploration of Alternative Methods:</u>
- 181 Increased adoption of sensory modulation therapy and pharmacological alternatives (e.g.,
- mild sedation) to manage agitation without restricting movement.
- 183 Implementation of early mobility programs to reduce the physical complications of184 immobility caused by prolonged restraint use.
- 185 Improved Communication with Families:
- 186 Families should be actively involved in decision-making and provided with comprehensive
- 187 explanations regarding restraint necessity and available alternatives.
- 188 Some hospitals in Canada have introduced family consent policies, ensuring that restraint
- application is discussed before implementation, except in emergency situations.



191 Figure 4: Mittens



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- 193 Figure 5 : Posey Vest
- 194 **Future Research Directions**
- 195 While this study provides valuable insights, further research is needed to:

- 196 Investigate the long-term psychological impact of restraint use on ICU survivors.
- 197 Explore the effectiveness of non-restrictive intervention programs in preventing agitation.

Conduct comparative studies between high- and low-resource healthcare settings to evaluatevariations in practice and regulatory impact.

200 The findings of our study highlight the reliance of healthcare professionals on physical

201 restraint (PR) in Moroccan critical care, where it is regarded as a necessary medical

202 intervention for ensuring patient stability and facilitating effective care. This perceived

203 necessity of PR aligns with documented clinical situations, providing a rationale for its

204 application. However, significant challenges arise as healthcare professionals navigate the 205 ethical and legal dilemmas associated with PR, underscoring the complex balance between

ethical and legal dilemmas associated with PR, underscoring the complex balance betw patient rights and clinical needs in critical care settings

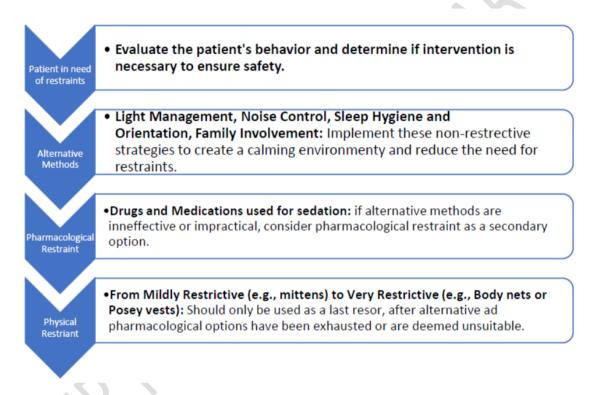
206 patient rights and clinical needs in critical care settings.

- 207 While PR is often used as a routine solution, this normalization may, in many cases, be
- 208 inappropriate. Over-reliance on PR risks healthcare professionals overlooking alternative
- 209 measures, which could inadvertently compromise ethical standards and diminish patient
- 210 autonomy. Healthcare providers might perceive PR as beneficial but may not always critically
- reflect on its ethical implications, instead viewing it as a routine intervention.
- 212 The results of this study underscore the urgent need for structured guidelines and a
- 213 comprehensive framework governing PR use in Morocco. Widespread acceptance and
- 214 perceived necessity of PR among Moroccan healthcare providers—despite recognized
- complications for patients, families, and providers—indicate that such guidelines should
- thoroughly address legal protections for healthcare professionals, uphold patient rights, and
- 217 prioritize ethical considerations, ensuring a balanced approach that respects both patient 218 dignity and clinical needs
- 218 dignity and clinical needs.

219 Our findings also suggest that a lack of training on PR significantly contributes to its

- 220 widespread use. Without adequate education, healthcare professionals are more likely to
- 221 default to PR, unaware of alternative approaches or proper application criteria. Studies have
- shown that educational programs on PR reduce its use by increasing awareness among nurses
- and physicians. Such programs cover essential topics, including PR definition, purposes,
- emergency guidelines, staff responsibilities, and alternative interventions, thereby
- encouraging healthcare providers to consider other methods and fostering a more thoughtful
- approach to patient care.
- 227 Moreover, the lack of alternative methods and high workload, as identified in our study, are
- significant factors driving healthcare providers to use PR. These manageable factors create a
- challenging environment in which healthcare professionals may feel compelled to rely on PR.
- Integrating alternative practices into routine care and offering additional support to healthcare
- staff could help reduce PR reliance, promoting a more balanced approach in critical care.
- Our findings could serve as a foundation for developing a PR reduction program tailored to
- the Moroccan healthcare context. Addressing specific challenges and needs identified in this
- setting, such a program could help reduce reliance on PR by incorporating relevant training,
- alternative methods, and ethical considerations aligned with Moroccan cultural and
- 236 institutional practices.

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- 238 Creating a supportive environment with the goal of reducing reliance on PR, as achieved in
- countries like the United Kingdom and Norway, is an inspiring aim. These nations have
- 240 largely eliminated physical restraints in ICUs, using alternative methods, including chemical
- restraint, to minimize PR reliance in critical care settings. However, the cost of alternatives,
- such as chemical restraints, has been associated with longer ICU stays and occasional
- ineffectiveness, as highlighted in our study. These factors have influenced Moroccan
 healthcare professionals to continue using PR. A structured guideline could address these
- issues by establishing PR as a last-resort option while acknowledging the challenges
- 246 healthcare providers face in managing patient care.



248 Figure 6 : Hierarchy of Intervention for Patients in Need of

249 **Restraints**

250 **Conclusion**

251 Physical restraints remain a widely used intervention in critical care, but their ethical

- 252 implications and adverse effects necessitate urgent reform. By implementing structured
- training, regulatory oversight, and alternative interventions, healthcare institutions can
- significantly reduce restraint use while ensuring patient safety. Lessons from global studies
- indicate that a multi-pronged approach combining education, policy reform, and alternativetherapies is the most effective pathway towards ethical and sustainable patient care.
- therapies is the most effective pathway towards ethical and sustainable patient care.
- 257 By promoting awareness, education, and regulatory reforms, healthcare institutions can work
- towards reducing reliance on physical restraints and fostering a more ethical and patient-
- 259 centered approach to critical care.

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