A Holistic Approach to HPLC technique for addressing process impurities: review on Method
 Development, Optimization, and Validation.

3 Abstract

4 High-Performance Liquid Chromatography (HPLC) has become an indispensable analytical tool in pharmaceutical, environmental, and food sciences due to its precision, sensitivity, and 5 6 versatility. A holistic approach to HPLC method development, optimization, and validation ensures reliable and reproducible results while meeting regulatory requirements. This review 7 8 outlines the critical steps in HPLC method development, emphasizing the importance of 9 understanding analyte properties, selecting appropriate chromatographic conditions, and fine-10 tuning parameters for optimal performance. Key aspects of method optimization, such as 11 mobile phase composition, column selection, flow rate, and pH, are discussed to enhance 12 resolution and reduce analysis time. Additionally, the article delves into the rigorous validation process, which includes assessing accuracy, precision, specificity, linearity, and robustness in 13 14 compliance with International Council for Harmonisation (ICH) guidelines. By integrating 15 systematic method development, experimental optimization, and thorough validation, this holistic framework ensures efficient and high-quality analytical methods, driving 16 17 advancements in research and quality assurance across various industries.

18 Keywords

High Performance Liquid Chromatography, genotoxic potential impurities, N-nitroso compounds
pharmaceutical chemistry, methodology formulation. procedural validation.

21 Background

22 High-Performance Liquid Chromatography (HPLC) is an advanced analytical methodology

23 extensively used for the identification, quantification, and characterization of contaminants in

24 complex matrices. It is critical in the pharmaceutical industry, where rigorous regulatory

standards mandate the precise detection of process-related impurities, degradation products,

and genotoxic contaminants (GTCs) to ensure pharmaceutical safety and therapeutic efficacy.

27 Regulatory frameworks like ICH M7 highlight the importance of controlling GTCs [3],

28 including nitrosamines, which must be monitored at trace concentrations to minimize potential

29 carcinogenic hazards and comply with international safety regulations.

30 Main Text

31 1. Introduction to HPLC

32 High-Performance Liquid Chromatography (HPLC) is a chromatographic technique that

33 separates compounds based on interactions with a stationary phase, influenced by factors like

34 polarity, size, and structure [1]. It operates under high pressure, improving resolution and

35 sensitivity for complex samples [2]. HPLC is widely used in pharmaceuticals, biotechnology,

36 food safety, and environmental monitoring for its ability to provide high-throughput,

37 reproducible, and precise results.

38 In pharmaceuticals, HPLC is essential for quantifying active ingredients, impurities, degradation

39 products, and genotoxic contaminants (GTCs) [3]. The integration with mass spectrometry (LC-

40 MS) enhances sensitivity, enabling the detection of trace-level contaminants, ensuring drug

41 safety and regulatory compliance. Innovations like core-shell and monolithic columns improve

42 separation efficiency, facilitating the analysis of complex samples while meeting regulatory43 standards [5].

44 2. HPLC in Overcoming Analytical Obstacles: Perspectives and Strategies

45 The adoption of HPLC in pharmaceutical and industrial quality control is constrained by a series46 of analytical and technical challenges, including:

47 2.1 Identification Quantification and Profiling of Genotoxic Impurities (GTIs) in

48 Pharmaceutical Compounds:

49 Genotoxic impurities, which have the potential to induce DNA damage and are regarded as

50 potential carcinogens, must be detected at exceedingly low concentrations, often at sub-parts-

per-billion (ppb) levels [5]. Regulatory frameworks, such as ICH M7 [3], underscore the
imperative to utilize highly sensitive and precise analytical methodologies, such as HPLC, for
the detection and quantification of these impurities.

54 2.2 Advanced techniques for the dissection and examination of multifaceted sample 55 matrices.:

Advanced techniques for the dissection and examination of complex sample matrices using 56 High-Performance Liquid Chromatography (HPLC) include precise sample preparation methods 57 such as solid-phase extraction (SPE), which aids in isolating target analytes [1]. The application 58 59 of gradient elution enhances separation efficiency, particularly for complex samples containing compounds with diverse polarities [2]. Coupling HPLC with highly sensitive detectors, such as 60 61 mass spectrometry (MS) or UV-Vis, ensures high specificity and sensitivity, which is crucial for 62 detecting trace impurities. The use of specialized columns and automated sample injection systems further enhances resolution and reproducibility [4]. These innovations make HPLC a 63 powerful analytical tool in diverse industries. 64 65 The complexity of pharmaceutical products or drug substances can result in matrix effects that hinder accurate detection of genotoxic impurities (GTIs). To effectively separate target 66 compounds from complex mixtures, advanced sample preparation techniques, such as solid-67 phase extraction (SPE) and liquid-liquid extraction (LLE), are essential for isolating analytes of 68

69 interest [6,7].

70 2.3 Innovation Demands:

Identifying genotoxic impurities (GTIs) in pharmaceuticals requires advanced instruments, such
as High-Performance Liquid Chromatography (HPLC) coupled with Mass Spectrometry (LCMS) and Liquid Chromatography-High Resolution Mass Spectrometry (LC-HRMS), for precise
trace-level analysis. These technologies provide high resolution and accuracy, ensuring

compliance with regulatory standards like ICH M7 [3]. Automated sample preparation systemsenhance efficiency and minimize contamination risks [6,7].

77 3. Leading-edge methods for the quantification and characterization of genotoxic

78 impurities and nitrosamines in pharmaceutical drug substances.

Leading-edge methods for the quantification and characterization of genotoxic impurities and 79 80 nitrosamines in pharmaceutical drug substances are critical for ensuring the safety and efficacy of pharmaceutical products. Prominent examples include N-Nitroso dimethylamine (NDMA) 81 and N-Nitrosodiethylamine (NDEA) [11,12]. These methods often employ highly sensitive 82 83 techniques such as High-Performance Liquid Chromatography (HPLC) coupled with Mass Spectrometry (MS), which provides exceptional resolution and specificity for detecting trace 84 85 levels of impurities. Additionally, advanced methods like Gas Chromatography-Mass 86 Spectrometry (GC-MS) and Liquid Chromatography-Tandem Mass Spectrometry (LC-MS/MS) are used to quantify these impurities with high precision [1]. The integration of automated 87 sample preparation techniques, such as solid-phase extraction (SPE), further enhances efficiency 88 89 and accuracy, minimizing contamination and variability [6,7]. These state-of-the-art approaches are crucial for meeting stringent regulatory guidelines, such as those outlined in ICH M7 [3], and 90 91 for ensuring the safe use of pharmaceutical products by detecting harmful impurities at levels 92 that could pose risks to human health [5].

93 4. Analytical Technique Design:

94 HPLC Analytical technique design focuses on developing efficient methods to achieve accurate
95 separation, detection, and quantification of target compounds in complex samples.

96 **4.1 Column Suitability:** Column suitability in HPLC is essential for achieving optimal

97 separation, resolution, and sensitivity of target analytes. The choice of column type of reversed-

98 phase, normal-phase, or ion-exchange depends on the chemical characteristics of the compounds

99 being analyzed and the required chromatographic performance. Key factors such as particle size,

pore size, and column length are critical for efficient separation and reduced analysis time [1]. As
such, evaluating column suitability is a crucial step in ensuring the success of HPLC analyses,
particularly when dealing with complex sample matrices [2].

4.2 Mobile Phase Fine-tuning: Mobile phase fine-tuning in HPLC is vital for enhancing the
separation efficiency and resolution of analytes. By adjusting factors such as solvent
composition, pH, and ionic strength, analysts can enhance peak shape, reduce retention time, and
improve overall chromatographic performance [1]. Fine-tuning the mobile phase is particularly
important for complex mixtures, where slight adjustments can significantly impact sensitivity
and selectivity [2]. Therefore, careful optimization of the mobile phase is essential for achieving
high-quality analytical results.

4.3. Choice of Buffer: choice of buffer in chromatographic methods is critical for maintaining a
stable pH environment during analysis. The buffer's pH range should align with the ionization
requirements of the analyte for optimal separation. Buffer capacity must be sufficient to resist pH
fluctuations caused by sample injection or mobile phase changes. Compatibility with the mobile
phase is essential to avoid interference with analyte interactions. Common buffers such as
phosphate, acetate, and citrate are tailored to specific analyte properties and experimental
conditions [1].

4.4. pH optimization: pH optimization in chromatography is essential for ensuring proper
ionization of analytes, impacting their separation and retention. The correct pH enhances
resolution and minimizes peak tailing. It is crucial for achieving consistent and reproducible
results in chromatographic analysis. pH selection depends on the analyte properties and the
chromatography method used [1].

4.5. Contribution of organic modifiers: The contribution of organic modifiers in
chromatography is crucial for adjusting the polarity of the mobile phase, which impacts analyte
retention and separation. Organic solvents help enhance the solubility of hydrophobic

125 compounds and improve peak shape. They also play a role in controlling the interaction between126 analytes and the stationary phase, optimizing resolution [1].

4.6. Opting for Detectors in Chromatography: The selection of detectors in chromatography is
based on the analyte's chemical properties, sensitivity requirements, and the type of analysis.

129 Common detectors, such as UV/Vis, fluorescence, and refractive index detectors, vary in

130 selectivity and sensitivity. The detector's linear range and response time are critical for accurate

131 quantification, particularly in trace analysis. Compatibility with the mobile phase and sample

132 matrix ensures minimal interference and accurate results [1].

4.7. Preparation of Analytical Samples:

134 Sample preparation for HPLC and LC-MS/MS involves isolating the analyte from the sample

135 matrix using techniques like extraction or filtration. It may also include steps like dilution,

136 concentration, or derivatization to optimize analyte detection and ensure compatibility with the

137 chromatographic system. Proper sample preparation is essential for minimizing matrix

138 interference and improving sensitivity. These steps enhance the reliability and accuracy of results

in both techniques [1].

140 5. Validation of Analytical Techniques:

141 Validation in chromatography refers to the process of confirming that a particular analytical

142 method is suitable for its intended purpose. It involves a series of tests to ensure that the method

143 is accurate, precise, reliable, and reproducible. For pharmaceutical analyses, validation ensures

that the method consistently delivers results that meet regulatory requirements [16].

145 Key components of method validation in chromatography include:

146 Accuracy: The closeness of the measured value to the true value.

147 **Precision**: The reproducibility of results under the same conditions.

148 **Specificity**: The ability to measure the analyte in the presence of other substances in the sample.

149 **Sensitivity**: The method's ability to detect low concentrations of the analyte.

Linearity: The relationship between analyte concentration and detector response over a specifiedrange.

152 Range: The interval between the lowest and highest concentrations of analyte that can be153 reliably measured.

154 Robustness: The method's ability to remain unaffected by small variations in operational

155 conditions (e.g., temperature, mobile phase composition).

156 Validation is an essential part of method development to ensure compliance with regulatory

157 standards such as those set by the FDA or ICH [16].

158 6. Refining HPLC methodologies for expandability in pharmaceutical and industrial

159 applications.

160 Refining HPLC methodologies for expandability is crucial for efficient and cost-effective

161 pharmaceutical and industrial production. As demands increase, HPLC methods must be optimized to

162 handle larger sample volumes, higher throughput, and diverse formulations without sacrificing

163 precision. Key improvements include developing robust, high-resolution columns, optimizing mobile

164 phases, and integrating automation for better productivity and reproducibility [8]. These methods

165 must also adapt to varying analytical challenges, from APIs to complex impurities [9]. By advancing

166 HPLC techniques for scalability, industries can meet growing demands while ensuring product

167 quality and regulatory compliance. Below are key areas of focus in optimizing HPLC for scalability:

168 **6.1. Cost-Effectiveness and Sustainability**: Scaling up HPLC methods requires focusing on

169 cost-effectiveness and sustainability. This includes using lower-cost reagents, optimizing solvent

170 use, and reducing waste. Methods that minimize sample preparation and energy consumption

also contribute to environmental and economic sustainability [10].

6.2. Operational Lifespan of Analytical Tools: The operational lifespan of analytical tools is
critical for ensuring consistent performance and minimizing maintenance, ensuring consistent
performance and minimizing maintenance costs in long-term applications [8].

175 **6.3. Automation and High-Throughput Integration**: Automation is key to meeting

176 pharmaceutical and industrial scalability demands, enhancing throughput and reducing manual

177 intervention. Automated injectors, sample preparation systems, and high-throughput HPLC

178 systems ensure consistent processing of larger sample volumes while maintaining analysis

179 integrity and improving workflow efficiency [8].

180 **7. Monetary Considerations**: The adoption of HPLC and LC-MS technologies presents

181 significant monetary considerations that extend beyond initial investment costs. While LC-MS

182 offers superior sensitivity and advanced analytical capabilities, it comes with higher operational,

183 maintenance, and data management expenses compared to HPLC. Careful cost-benefit analysis

is essential when selecting the appropriate technique, taking into account the specific needs of

the analysis, available budget, and long-term operational goals. By optimizing resource

186 allocation and operational efficiency, the financial impact of these technologies can be

187 effectively managed, ensuring their sustainable use in analytical applications.

188 Economic factors play a crucial role in the adoption of HPLC techniques. While the initial

189 investment in LC-MS systems is high, their sensitivity, specificity, and automation capabilities

190 provide significant long-term benefits [5].

7.1. Recurring Consumables: Recurring costs, including mobile phase solvents and the periodicreplacement of columns [10].

7.2. Automation: Streamlined Operations reduce manual intervention by automating tasks suchas sample injection, mobile phase delivery, and data processing in HPLC analysis [8].

195 Through streamlined operations, labour costs are minimized as processes like sample preparation196 and data analysis become more efficient.

197 The implementation of streamlined operations enhances overall productivity, reducing both time198 and resource consumption.

7.3. Abidance by Regulations: Abidance by regulatory standards ensures compliance with
established limits, thereby mitigating the risk of infringement of regulations [12,16].

201 The organization's strict abidance by regulations reflects its commitment to maintaining high-

202 quality standards.

203 8. Vision for the Future.

204 Emerging era of HPLC and LC-MS/MS in pharmaceuticals holds promising advancements, particularly in drug development, quality control, and regulatory compliance. Enhanced 205 206 sensitivity and resolution in both technologies will allow for more accurate detection of 207 impurities and better quantification of drug compounds at lower concentrations, including 208 genotoxic impurities such as nitrosamines [11] ensuring higher safety standards [14]. Automation 209 and integration of these techniques will streamline workflows, increase throughput, and reduce operational costs, making pharmaceutical testing more efficient and cost-effective. Moreover, the 210 incorporation of green chemistry practices, such as eco-friendly solvents and energy-efficient 211 212 systems, aligns with the growing demand for sustainability in pharmaceutical manufacturing 213 [13]. As both technologies evolve, they will continue to play a critical role in meeting stringent 214 regulatory requirements and supporting the development of personalized medicine. The 215 increased accessibility and affordability of these technologies will democratize their use, 216 benefiting smaller labs and facilitating research and diagnostics across the pharmaceutical 217 industry.

8.1. AI-driven Technology: Artificial Intelligence is becoming more integral in HPLC to
improve analytical efficiency. Machine learning algorithms automatically adjust
chromatographic parameters like flow rate and gradient profiles for optimal performance. In
addition, AI-driven systems facilitate the interpretation of complex datasets, enhancing data
accuracy and accelerating the analysis process, which leads to better-informed decision-making
[15].

8.2. Compact HPLC Systems: Compact HPLC systems provide considerable benefits for on-

site quality control and fast impurity testing. Compact HPLC units allow for real-time analysis of

active pharmaceutical ingredients (APIs) and excipients directly at manufacturing facilities,

227 ensuring adherence to regulatory standards and enabling the swift identification of contaminants

228 [7].

229 8.3. State-of-the-art Detectors and Sampling Techniques: Innovative sampling methods,

230 including solid-phase microextraction (SPME) and needle-based devices, significantly improve

sensitivity, precision, and the range of applications in pharmaceutical analysis [1].

8.4. Sustainable Practices: The adoption of hydrogen as a mobile phase modifier and the

233 development of eco-friendly stationary phases align with green chemistry principles [8].

234 CONCLUSIONS

235 High-performance liquid chromatography (HPLC) is advancing to meet the growing challenges

236 of impurity analysis in the pharmaceutical industry, driven by innovations in automation,

237 miniaturization, and sustainability. The adoption of AI-driven analytics is revolutionizing data

238 interpretation, providing faster and more precise results while reducing human error.

Additionally, miniaturization improvements are making HPLC systems more accessible and

efficient, offering enhanced cost-effectiveness and ease of use. Sustainable approaches, such as

241 utilizing mobile phase modifiers and developing eco-friendly stationary phases, align with green

242 chemistry principles, fostering environmentally responsible practices. These advancements

243 further solidify HPLC's essential role in ensuring product quality, maintaining regulatory

244 compliance, and supporting environmental stewardship.

245 List of Abbreviations

246 HPLC: High Performance Liquid Chromatography

247 **GTIs**: Genotoxic Impurities

248 ICH: International Council for Harmonisation

- 249 LC-MS: Liquid Chromatography-Mass Spectrometry
- 250 Declarations

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